

Annexure 1: Input File (Offline order Entry) Format for Banks –ASBA (Equity IPO)

Input File

File Format Type- comma (.csv) & pipe (.txt) separated

Sr. No	Field Name	Alpha Numeric/Character	Mandatory / non- Mandatory	Length	Remark
1	Scrip Id/Symbol	Alphanumeric	Mandatory	10	Symbol of the Company/IPO
2	Application No	Alphanumeric	Mandatory	16	Application number of the Form
3	Depository Name	Alphanumeric	Mandatory	4	Either CDSL/NSDL
4	DP ID	Alphanumeric	Mandatory	8	Depository Id. In case of CDSL, DPId should be 0 and in case of NSDL 8 digit DPId should be entered
5	Client ID / Beneficiary ID	Alphanumeric	Mandatory	16	In case of CDSL, the 16 digit Beneficiary Id should be entered and in case of NSDL 8 digit ClientId should be entered.
6	Bid Quantity	Numeric	Mandatory	11	Quantity should be always in multiple of market lot
7	Rate / Price	Numeric	Mandatory	6.2	In case of cut-off "MKT"
8	PAN No.	Alphanumeric	Mandatory	10	PAN Number of the client
9	Category / Sub- Category	Alphanumeric	Mandatory	5	Client sub-category master values would remain constant over all the scrips i.e.FI,FII,IC,MF, OTH, NOH, CO, IND, EMP, SHA & POL
10*	ASBA Blocking Reference Number	Alphanumeric	Mandatory	60	Valid ASBA Block Reference Number of the Bank Prefix D for Direct ASBA Prefix S for Syndicate ASBA
11	Bank Account Number	Alphanumeric	Optional	16	Account Number of the client

* The following special characters ~,|%& shall not be part of ASBA Blocking Reference Number

Success File

Sr. No	Field Name	Alpha Numeric/Character	Mandatory / non-Mandatory	Length	Remark
1	Scrip Id/Symbol	Alphanumeric	Mandatory	10	Symbol of the Company/IPO
2	IFSC Code	Alphanumeric	Mandatory	11	Auto generated by the Exchange
3	Application No	Alphanumeric	Mandatory	16	Application number of the Form
4	DP ID	Alphanumeric	Mandatory	8	Depository Id. In case of CDSL, DPId should be 0 and in case of NSDL 8 digit DPId should be entered
5	Client ID / Beneficiary ID	Alphanumeric	Mandatory	16	In case of CDSL, the 16 digit Beneficiary Id should be entered and in case of NSDL 8 digit ClientId should be entered.
6	Bid Quantity	Numeric	Mandatory	11	Quantity should be always in multiple of market lot
7	Rate / Price	Numeric	Mandatory	6.2	In case of cut-off 999999.99
8	Amount to be blocked	Numeric	Mandatory	12	Auto generated by the Exchange
9	PAN No.	Alphanumeric	Mandatory	10	PAN Number of the client
10	Category / Sub-Category	Alphanumeric	Mandatory	5	Client sub-category master values would remain constant over all the scrips i.e.FI,FIL,IC,MF, OTH, NOH, CO, IND, EMP, SHA & POL
11*	ASBA Blocking Reference Number	Alphanumeric	Mandatory	60	Valid ASBA Block Reference Number of the Bank Prefix D for Direct ASBA Prefix S for Syndicate ASBA
12	Bank Account Number	Alphanumeric	Optional	16	Account Number of the client
13	Entry Date & Time	Alphanumeric	Mandatory	Date (DD-MM-YYYY) Time (HH:MM:SS)	Auto generated by the Exchange
14	Last Modified Date & Time	Alphanumeric	Mandatory	Date (DD-MM-YYYY) Time (HH:MM:SS)	Auto generated by the Exchange
15	Order Number	Numeric	Mandatory	16	Bid Id auto generated by the Exchange. Bid Id is system generated.

* The following special characters ~, ! % & shall not be part of ASBA Blocking Reference Number

Error File

Sr. No	Field Name	Alpha Numeric/Character	Mandatory / non-Mandatory	Length	Remark
1	Scrip Id/Symbol	Alphanumeric	Mandatory	10	Symbol of the Company/IPO
2	Application No	Alphanumeric	Mandatory	16	Application number of the Form
3	Depository Name	Alphanumeric	Mandatory	4	Either CDSL/NSDL
4	DP ID	Alphanumeric	Mandatory	8	Depository Id. In case of CDSL, DPId should be 0 and in case of NSDL 8 digit DPId should be entered
5	Client ID / Beneficiary ID	Alphanumeric	Mandatory	16	In case of CDSL, the 16 digit Beneficiary Id should be entered and in case of NSDL 8 digit ClientId should be entered.
6	Bid Quantity	Numeric	Mandatory	11	Quantity should be always in multiple of market lot
7	Rate / Price	Numeric	Mandatory	6.2	In case of cut-off "MKT"
8	PAN No.	Alphanumeric	Mandatory	10	PAN Number of the client
9	Category / Sub-Category	Alphanumeric	Mandatory	5	Client sub-category master values would remain constant over all the scrips i.e.FI,FIL,IC,MF, OTH, NOH, CO, IND, EMP, SHA & POL
10*	ASBA Blocking Reference Number	Alphanumeric	Mandatory	60	Valid ASBA Block Reference Number of the Bank Prefix D for Direct ASBA Prefix S for Syndicate ASBA
11	Bank Account Number	Alphanumeric	Optional	16	Account Number of the client
12	Status Flag	Numeric	Mandatory	1 (default flag "0")	
13	Rejection Reason	Alphanumeric	Mandatory	250	Gives detailed description of the error encountered during upload

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